

## c) Holomorphic Functions and Riemann Surfaces

## Holomorphic functions

Def:  $f: \Omega \xrightarrow{\text{holomorphic}} \mathbb{C}$  if it is complex-differentiable at every point  $z \in \Omega$ :  $\exists f'(z) = \lim_{\substack{h \rightarrow 0 \\ h \in \mathbb{C}}} \frac{f(z+h) - f(z)}{h} \in \mathbb{C}$

$\nabla$   
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Equivalent concept:

- $f: \Omega \rightarrow \mathbb{C}$  is analytic:  $\forall z_0 \in \Omega \exists U = D(z_0, \epsilon) \subset \Omega$  s.t.  $\forall z \in U$ ,

$$f(z) = \sum_{n=0}^{\infty} a_n (z - z_0)^n \quad \text{for some } a_n \in \mathbb{C} \quad (a_n = \frac{f^{(n)}(z_0)}{n!})$$

Taylor series

- Cauchy-Riemann equations:  $f: \Omega \rightarrow \mathbb{C}$  differentiable (over  $\mathbb{R}$ ).

$$f = u + iv \quad z = x + iy \quad \begin{cases} \frac{\partial u}{\partial x} = \frac{\partial v}{\partial y} \\ \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x} \end{cases}$$

(oriented)

- Conformal maps:  $f: \Omega \rightarrow \mathbb{C}$  is conformal if it preserves angles (locally, infinitesimally). If conformal  $\Leftrightarrow f$  holomorphic and  $f'(z) \neq 0 \quad \forall z \in \Omega$ .

- Integrals:  $\gamma: I \rightarrow \Omega$  a piecewise  $C^1$  path,  $f: \Omega \rightarrow \mathbb{C}$  continuous

$$\int_{[0,1]} f(\gamma(t)) \gamma'(t) dt.$$

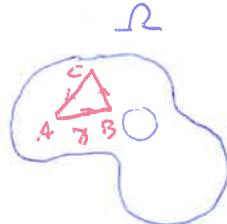
$f$  is holomorphic  $\Leftrightarrow \forall$  triangle  $\triangle ABC \subseteq \Omega$ ,  $\int_{\gamma=\partial\triangle} f(z) dz = 0$ .

Properties of holomorphic functions

Analytic continuation:

Isolated zeros:  $f: \Omega \rightarrow \mathbb{C}$ ,  $\Omega$  connected, open.

If  $\{z \in \Omega \mid f(z) = 0\}$  has an accumulation point in  $\Omega$ ,



Then  $f \equiv 0$ . ( $f \equiv g$ )

Cauchy integral formula:  $f: \Omega \rightarrow \mathbb{C}$  holomorphic,  $p \in \Omega$

$$f^{(n)}(p) = \frac{n!}{2\pi i} \int_{\gamma} \frac{f(z)}{(z-p)^{n+1}} dz, \quad \text{for any loop } \gamma \cdot \cancel{\text{closed}}$$

boundary of a small disc containing  $p$   
and positively oriented.

Consequence:  $\int_0^{2\pi}$

$$f(p) = \frac{1}{2\pi} \int_0^{2\pi} f(p + re^{it}) dt \quad \forall r \text{ so that } \overline{D(p,r)} \subseteq \Omega. \quad (\text{Mean value thm})$$

Maximum modulus principle.

$f: \Omega \rightarrow \mathbb{C}$  holomorphic on  $\Omega \subseteq \mathbb{C}$  connected ( $\neq \emptyset$ ).

If  $|f|$  admits a local maximum at  $p \in \Omega$ , then  $f$  is constant.

Open mapping theorem.  $f: \Omega \rightarrow \mathbb{C}$  holomorphic,

If  $f$  is not locally constant (not constant on any open non-empty, or equivalently on any connected component), then  $f$  is an open map ( $\forall U \subseteq \Omega$  open,  $f(U)$  is open).

Def: An entire function is a holomorphic function  $f: \mathbb{C} \rightarrow \mathbb{C}$ .

Thm (Liouville). Any entire bounded function is constant.

\* Rouche's theorem.

Let  $g: U \rightarrow \mathbb{C}$ ,  $U \subseteq \mathbb{C}$  simply connected,  $K \subset U$  compact bounded by a closed path  $\gamma = \partial K$ . If  $|f(z) - g(z)| < |g(z)| \quad \forall z \in \partial K$ , then

# Zeros( $f|_K$ ) = # Zeros( $g|_K$ )  
 ↗ <sup>i</sup> counted with multiplicity.

# Singularities of holomorphic functions

$f: D^* \rightarrow \mathbb{C}$  holomorphic,  $D = D(p, \varepsilon_0)$ ,  $D^* = D \setminus \{p\}$

( $p$  is an isolated singularity)  $\cdot p$  is a:

- removable singularity: if there exists  $F: D \rightarrow \mathbb{C}$  holomorphic, s.t.  $F|_{D^*} \equiv f$ .  $\Leftrightarrow \exists \lim_{z \rightarrow p} f(z) \in \mathbb{C}$ . Ex:  $f(z) = \frac{\sin z}{z}$
- pole singularity: if it is not removable, and  $\exists m \in \mathbb{N}^*$  s.t.  $(z-p)^m f(z)$  has a removable singularity at  $p$ .  $\Leftrightarrow \lim_{z \rightarrow p} f(z) = +\infty$  Ex:  $f(z) = \frac{1}{z(e^z - 1)}$   
 $\Leftrightarrow \exists c_1, \dots, c_m \in \mathbb{C}, f(z) = \sum_{j=1}^m \frac{c_j}{(z-p)^j} + g(z)$  res( $f, p$ ) =  $-\frac{1}{2}$   $m=2$ .  
 holomorphic,  $g: D \rightarrow \mathbb{C}$ .

Def: The minimal such  $m$  is the order of pole of  $f$  at  $p$ .

$c_1$  is called the residue of  $f$  at  $p$ , denoted  $\text{res}(f, p)$

- essential singularity: if it is not removable, nor a pole.

$\Leftrightarrow \nexists \lim_{z \rightarrow p} f(z)$  in  $\hat{\mathbb{C}}$  (nor of  $|f(z)|$  in  $\mathbb{R}_+ \cup \{\infty\} = [0; +\infty]$ )

Cauchy-Weierstrass theorem

$\Leftrightarrow \forall \varepsilon, 0 < \varepsilon < \varepsilon_0, f(D(p, \varepsilon))$  is dense in  $\mathbb{C}$

$\Leftrightarrow$  " avoids at most one value in  $\mathbb{C}$ .

Great Picard theorem  $\exists z, f(z) = e^z$ , avoids the value 0. (always the same & const.)

Def:  $\Omega \subseteq \mathbb{C}$  open non-empty set. A meromorphic map is a holomorphic map  $f: \Omega \setminus S \rightarrow \mathbb{C}$ , where  $S$  is a discrete set (all points in  $S$  are isolated), and  $\forall p \in S$ ,  $f$  has not an essential singularity at  $p$ . (hence,  $f$  has only removable or pole singularities)

Riemann's theorem.  $\Omega \subset \mathbb{C}$  simply connected open set.

$f: \Omega \rightarrow \mathbb{C}$  meromorphic map,  $S = \text{Sing}(f)$ . Let  $\gamma: I \rightarrow \Omega \setminus S$  be a loop in  $\Omega$  not passing through the singularities of  $f$ . Then,

$$\int_{\gamma} f(z) dz = 2\pi i \sum_{p \in S} \text{res}(f, p) \cdot \text{ind}_{\gamma}(p),$$

$$\text{where } \text{ind}_{\gamma}(p) = \frac{1}{2\pi i} \int_{\gamma} \frac{dz}{z-p} \in \mathbb{Z}.$$

(\*)

### Riemann surfaces.

Def: A Riemann surface  $X$  is a connected complex analytic manifold of complex dimension 1:

- $X$  is a connected Hausdorff space.
- $\forall p \in X, \exists U \subset X$  open neighborhood of  $p$ , and  $\phi: U \rightarrow V \subset \mathbb{C}$  homeomorphism satisfying the following property:

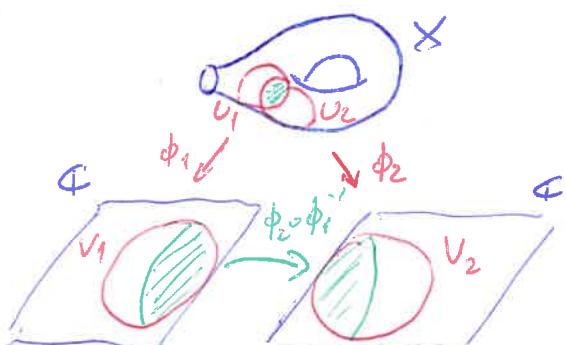
For any such ~~pair~~  $U_j \xrightarrow{\phi_j} V_j$   $j=1, 2$ , so that  $U_1 \cap U_2 \neq \emptyset$ ,

the map  $\phi_2 \circ \phi_1^{-1}: \phi_1(U_1 \cap U_2) \rightarrow \phi_2(U_1 \cap U_2)$  is a biholomorphism (holomorphic and bijective  $\Leftrightarrow$  holomorphic with holomorphic inverse).

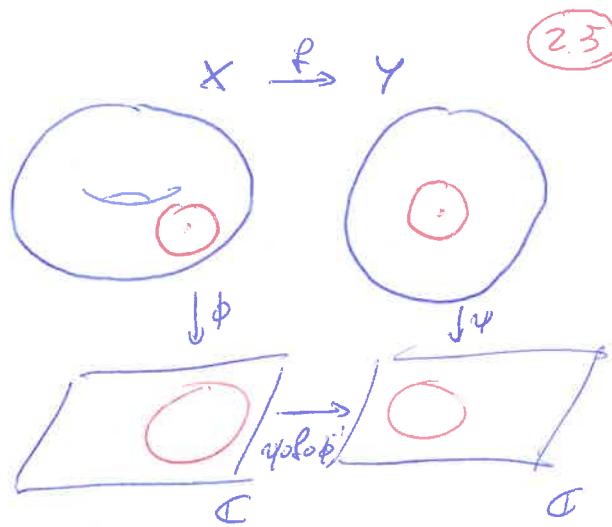
The maps  $\phi$  are called "coordinate charts" (or local uniformising parameter), centred at  $p$  if  $\phi(p) = 0$ .

The maps  $\phi_2 \circ \phi_1^{-1}$  are called "transition maps".

The family  $\{(U_i, \phi_i)\}$  of charts satisfying the conditions above is called an "atlas". Two atlases are compatible if their union is an atlas. One can always take minimal atlas.



A map  $f: X \rightarrow Y$  between two Riemann surfaces  $X, Y$  is holomorphic if (it is continuous and)  $\forall p \in X$ , there are coordinate charts  $\phi: U \rightarrow V$  of  $p$  and  $\psi: V' \rightarrow V$  of  $f(p)$  such that  $\psi \circ f \circ \phi^{-1}$  is holomorphic (in a neighborhood of  $\phi(p)$ ).



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$f$  is a biholomorphism if it is holomorphic and bijective (by the open mapping theorem,  $f^{-1}$  is itself holomorphic).

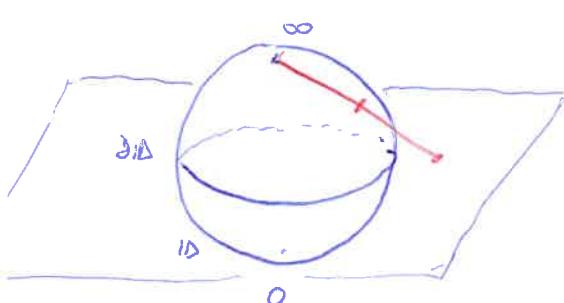
Two Riemann surfaces  $X, Y$  are biholomorphic (or conformally isomorphic) if  $\exists \phi: X \rightarrow Y$  biholomorphism. (We write  $X \cong Y$ ).

Examples:

$\mathbb{C}, \mathbb{H}$ , any connected open subset  $U$  are Riemann surfaces (with other a unique coordinate chart, given by the natural inclusion  $U \hookrightarrow \mathbb{C}$ ).

The Riemann sphere  $\hat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$ , with two charts:  $(\mathbb{C}, \phi_0), (\hat{\mathbb{C}} \setminus \{\infty\}, \phi_\infty)$  where  $\phi_0: \mathbb{C} \rightarrow \mathbb{C}$  and  $\phi_\infty: \hat{\mathbb{C}} \setminus \{\infty\} \rightarrow \mathbb{C}$ . In this case the transition map is  $z \mapsto \frac{1}{z}$  ( $\infty \leftrightarrow 0$ )  $\mathbb{C}^* \rightarrow \mathbb{C}^*$ .

Remark: by stereographic projection,  $\hat{\mathbb{C}}$  is homeomorphic to a sphere  $S^2$ .



The stereographic projection from the north pole  $N$  (identified with  $\infty$ ) allow to identify  $S^2 \setminus \{\infty\}$  with  $\mathbb{C}$ .

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Remark: If  $Y$  is a Riemann surface and  $f: X \rightarrow Y$  is a homeomorphism, then there exists a Riemann surface structure on  $X$  so that  $f$  is a biholomorphism. We say that such structure is induced by the one in  $Y$ . More generally, this applies to coverings.

Uniformisation theorem (difficult): Any simply connected Riemann surface is biholomorphic to either:

the Riemann sphere  $\widehat{\mathbb{C}}$ , the plane  $\mathbb{C}$ , the disc  $\mathbb{D}$ .

Complex  
Projective line

$$\mathbb{P}_1(\mathbb{C}) = \frac{\mathbb{C}^2 \setminus \{0\}}{\sim} \quad \text{where } (z_0, z_1) \sim (w_0, w_1) \text{ if } \exists \lambda \in \mathbb{C}^* \text{ s.t. } (w_0, w_1) = \lambda(z_0, z_1)$$

We denote by  $[z_0 : z_1]$  the class represented by  $(z_0, z_1)$

$\mathbb{P}_1(\mathbb{C})$  is a Riemann surface:

$$U_0 = \{z_0 \neq 0\}, \quad \phi_0: U_0 \rightarrow \mathbb{C}^* \\ [z_0 : z_1] \mapsto \frac{z_1}{z_0} \\ [1 : \frac{z_1}{z_0}]$$

$$U_1 = \{z_1 \neq 0\}, \quad \phi_1: U_1 \rightarrow \mathbb{C}^* \\ [z_0 : z_1] \mapsto \frac{z_0}{z_1} \\ [\frac{z_0}{z_1} : 1]$$

Note that  $\phi_1 \circ \phi_0: \mathbb{C}^* \rightarrow \mathbb{C}^*$ . We deduce that  $\mathbb{P}_1(\mathbb{C}) \cong \widehat{\mathbb{C}}$ , the

identification given by  $[1 : z] \mapsto z \in \mathbb{C}$   
 $[0 : 1] \mapsto \infty$